

09/913018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

518 Rec'd PCT/PTO 08 AUG 2001

Applicant: Yoshikawa et al.
 Docket: 10873.779USWO
 Title: REFLECTIVE OPTICAL DEVICE, AND REFLECTIVE SOLID-STATE OPTICAL DEVICE, AND IMAGING DEVICE, MULTI-WAVELENGTH IMAGING DEVICE, VIDEO CAMERA DEVICE, AND VEHICLE-MOUNTED MONITOR UTILIZING THE SAME

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL669945125US

Date of Deposit: August 8, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

By: Omesh Singh
 Name: Omesh Singh

BOX PCT
 Commissioner for Patents
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 59 pgs; 108 claims; Abstract 1 pgs.
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 40 sheets of formal drawings
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Assignment of the invention to Matsushita Electric Industrial Co., Ltd., Recordation Form Cover Sheet
- ☒ A check in the amount of \$2764.00 to cover the Filing Fee
- ☒ A check for \$40.00 to cover the Assignment Recording Fee.
- ☒ Other: Preliminary Amendment, form PTO-1390, copy of pct application, Marked-up version showing changes made, International Search Report, PCT Request, Written Reply, Written amendments, form PCT/IB/301, form PCT/IB/304, for PCT/IB/308, form PCT/IPEA/401, form PCT/IPEA/409 in Japanese, form PCT/IB/332
- ☒ Return postcard

CLAIMS AS FILED

Number of Claims Filed		In Excess of:		Number Extra		Rate		Fee
Basic Filing Fee								\$860.00
Total Claims								
108	-	20	=	88	x	18.00	=	\$1584.00
Independent Claims								
7	-	3	=	4	x	80.00	=	\$320.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								\$2764.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
 P.O. Box 2903, Minneapolis, MN 55402-0903
 (612) 332-5300

By: Douglas P. Mueller
 Name: Douglas P. Mueller
 Reg. No.: 30,300
 Initials: DPMueller/jlc



(PTO TRANSMITTAL - NEW FILING)

518 Rec'd PCT/JP00 08 AUG 2001

FORM PTO-1390
(REV 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

10873.779USWO

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

to be assigned **09/913018**

INTERNATIONAL APPLICATION NO.

PCT/JP00/00728

INTERNATIONAL FILING DATE

February 9, 2000

PRIORITY DATE CLAIMED

February 10, 1999

TITLE OF INVENTION

REFLECTIVE OPTICAL DEVICE, AND REFLECTIVE SOLID-STATE OPTICAL DEVICE, AND IMAGING DEVICE,
MULTI-WAVELENGTH IMAGING DEVICE, VIDEO CAMERA DEVICE, AND VEHICLE-MOUNTED MONITOR
UTILIZING THE SAME

APPLICANT(S) FOR DO/EO/US

Motonobu YOSHIKAWA; Yoshiharu YAMAMOTO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
10/12/2001 THOLLAND 00000003 09913018
15. ☐ A change of power of attorney and/or address letter.

Adjustment date: 10/12/2001 THOLLAND

08/14/2001 MKAYPAGH 00000091 09913018


02-FA-966
Ref: 10/12/2001 THOLLAND-0012000300

DAH:132725 Name/Number:09913018

PCT/JP00/00728

16. ☒ Other relevant information: Marked-up version showing changes made, International Search Report, PCT Request, Written Reply, Written amendments, form PCT/IB/301, form PCT/IB/304, for PCT/IB/308, form PCT/IPEA/401, form PCT/IPEA/409 in Japanese, form PCT/IB/308

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U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) to be assigned 09/913018		INTERNATIONAL APPLICATION NO. PCT/JP00/00728		ATTORNEY'S DOCKET NUMBER 1080779USWO	
17. [X] The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): Search Report has been prepared by the EPO or JPO.....\$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.492(a)(1)).....\$690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$710.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(3)) paid to USPTO..... \$1000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	108 -20 =	88	X \$18.00	\$1584.00	
Independent claims	7 -3 =	4	X \$80.00	\$320.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$2764.00	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$0.00	
SUBTOTAL =				\$2764.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$0.00	
TOTAL NATIONAL FEE =				\$2764.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$40.00	
TOTAL FEES ENCLOSED =				\$2804.00	
				Amount to be: refunded	\$0.00
				charged	\$0.00
a. [X] Check(s) in the amount of \$2764.00 for filing fee and \$40.00 for assignment recordation to cover the above fees is enclosed. b. [] Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-2725</u> .					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Douglas P. Mueller MERCHANT & GOULD P.O. Box 2903 Minneapolis, MN 55402-0903					
				SIGNATURE: 	
				NAME: Douglas P. Mueller	
				REGISTRATION NUMBER: 30,300	